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| APPLICATION NO.          | FILING DATE   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--------------------------|---------------|----------------------|---------------------|------------------|
| 10/589,430               | 01/30/2007    | James K. Gimzewski   | UCLA-013            | 9452             |
|                          | 7590          | EXAMINER             |                     |                  |
| 1900 UNIVERS             | SITY AVENUE   | SIEFKE, SAMUEL P     |                     |                  |
| SUITE 200<br>EAST PALO A | LTO, CA 94303 |                      | ART UNIT            | PAPER NUMBER     |
|                          |               |                      | 1772                |                  |
|                          |               |                      |                     |                  |
|                          |               |                      | MAIL DATE           | DELIVERY MODE    |
|                          |               |                      | 10/12/2011          | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

## Advisory Action Before the Filing of an Appeal Brief

| Application No. | Applicant(s)        |  |  |
|-----------------|---------------------|--|--|
| 10/589,430      | GIMZEWSKI, JAMES K. |  |  |
| Examiner        | Art Unit            |  |  |
| SAM P. SIEFKE   | 1772                |  |  |

|   | SAM P. SIEFKE  | 1772  |   |
|---|--|---|---|
| The MAILING DATE of this communication appea  | ers on the cover sheet with the c  | orrespondence add   | ress                                    |
| THE REPLY FILED <u>30 September 2011</u> FAILS TO PLACE THIS  | APPLICATION IN CONDITION F   | OR ALLOWANCE.   |   |
| 1. The reply was filed after a final rejection, but prior to or on t application, applicant must timely file one of the following reapplication in condition for allowance; (2) a Notice of Appear for Continued Examination (RCE) in compliance with 37 CF periods:  | he same day as filing a Notice of A<br>eplies: (1) an amendment, affidavit<br>al (with appeal fee) in compliance v | Appeal. To avoid aban<br>i, or other evidence, w<br>with 37 CFR 41.31; or | hich places the (3) a Request           |
| a) The period for reply expires <u>3</u> months from the mailing date of  | of the final rejection.  |   |   |
| b) The period for reply expires on: (1) the mailing date of this Ad no event, however, will the statutory period for reply expire lat Examiner Note: If box 1 is checked, check either box (a) or (b) MONTHS OF THE FINAL REJECTION. See MPEP 706.07(f).  | er than SIX MONTHS from the mailing<br>). ONLY CHECK BOX (b) WHEN THE  | date of the final rejection<br>FIRST REPLY WAS FIL                        | n.<br>.ED WITHIN TWO                    |
| Extensions of time may be obtained under 37 CFR 1.136(a). The date of have been filed is the date for purposes of determining the period of exteunder 37 CFR 1.17(a) is calculated from: (1) the expiration date of the sheat forth in (b) above, if checked. Any reply received by the Office later that may reduce any earned patent term adjustment. See 37 CFR 1.704(b). NOTICE OF APPEAL | nsion and the corresponding amount of ortened statutory period for reply origin                                    | of the fee. The appropria<br>nally set in the final Offic                 | te extension fee<br>e action; or (2) as |
| <ol> <li>The Notice of Appeal was filed on A brief in compli-<br/>filing the Notice of Appeal (37 CFR 41.37(a)), or any extens<br/>Notice of Appeal has been filed, any reply must be filed with<br/>AMENDMENTS</li> </ol>  | sion thereof (37 CFR 41.37(e)), to   | avoid dismissal of the  |   |
| 3. 🛛 The proposed amendment(s) filed after a final rejection, bu  | ut prior to the date of filing a brief,  | will <u>not</u> be entered be   | cause                                   |
| (a) 🔀 They raise new issues that would require further cons   | sideration and/or search (see NOT  |   |   |
| (b) They raise the issue of new matter (see NOTE below  | •  |   |   |
| <ul><li>(c) ☐ They are not deemed to place the application in bette<br/>appeal; and/or</li></ul>  | er form for appeal by materially rec   | lucing or simplifying th  | e issues for                            |
| (d) They present additional claims without canceling a co   |  | cted claims.  |   |
| NOTE: <u>See Continuation Sheet</u> . (See 37 CFR 1.11  |  |   |   |
| 4. The amendments are not in compliance with 37 CFR 1.12  |  | mpliant Amendment (F  | PTOL-324).                              |
| 5. Applicant's reply has overcome the following rejection(s):   |  |   |   |
| <ol> <li>Newly proposed or amended claim(s) would be allo non-allowable claim(s).</li> </ol>  | wable if submitted in a separate, t  | imely filed amendmen  | t canceling the                         |
| 7.  For purposes of appeal, the proposed amendment(s): a) how the new or amended claims would be rejected is provided the status of the claim(s) is (or will be) as follows: Claim(s) allowed: Claim(s) objected to: Claim(s) rejected: 1.6.7.11-16.21.30.31.36 and 37. Claim(s) withdrawn from consideration:  |  | be entered and an ex  | planation of                            |
| AFFIDAVIT OR OTHER EVIDENCE   |  |   |   |
| 8. The affidavit or other evidence filed after a final action, but because applicant failed to provide a showing of good and was not earlier presented. See 37 CFR 1.116(e).  |  |   |   |
| <ol> <li>The affidavit or other evidence filed after the date of filing a<br/>entered because the affidavit or other evidence failed to ov<br/>showing a good and sufficient reasons why it is necessary</li> </ol>   | ercome <u>all</u> rejections under appea<br>and was not earlier presented. Se                                      | l and/or appellant fails<br>e 37 CFR 41.33(d)(1)                          | s to provide a                          |
| 10. The affidavit or other evidence is entered. An explanation REQUEST FOR RECONSIDERATION/OTHER  | of the status of the claims after en   | ntry is below or attache  | ed.                                     |
| 11.  The request for reconsideration has been considered but  | does NOT place the application in  | condition for allowand  | ce because:                             |
| 12. Note the attached Information <i>Disclosure Statement</i> (s). (F13. Other:   | PTO/SB/08) Paper No(s)   |   |   |
|   | /SAM P SIEFKE/   |   |   |
|   | Primary Examiner, Art U  | nit 1772  |   |

U.S. Patent and Trademark Office PTOL-303 (Rev. 08-06)

Continuation of 3. NOTE: The amended claims require further search because of the addition of, "silicon" requirement; aluminum requirement and the thermal expansion difference.